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CONFIRMATION NO. 5799

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/644,281	08/20/2003 RULE	705	3696	36287-3801		
APPLICANTS Christian Spieler, Frankfurt, GERMANY; Jonathan Slater, London, UNITED KINGDOM; Brigitta Wallisch, London, UNITED KINGDOM; Jonathan Adams, London, UNITED KINGDOM;						
** CONTINUING DATA ***** This appln claims benefit of 60/406,909 08/28/2002						
** FOREIGN APPLICATIONS *****						
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/16/2003						
Foreign Priority claimed 35 USC 119(a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No /WILLIAM E RANKINS/ Examiner's signature	<input type="checkbox"/> Met after Allowance Initials	STATE OR COUNTRY GERMANY	SHEETS DRAWINGS 6	TOTAL CLAIMS 24	INDEPENDENT CLAIMS 6
ADDRESS PATENT DOCKET ADMINISTRATOR LOWENSTEIN SANDLER PC 65 LIVINGSTON AVENUE ROSELAND, NJ 07068 UNITED STATES						
TITLE System and method for manager enhanced return on collateralized debt obligation transactions						
FILING FEE RECEIVED 1204	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		